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English - Or. English

9 June 2023

DIRECTORATE FOR FINANCIAL AND ENTERPRISE AFFAIRS INVESTMENT COMMITTEE

Meeting of the Network of National Contact Points for Responsible Business Conduct

NATIONAL CONTACT POINT 2022 REPORT TO THE OECD: NORWAY

This document is available in PDF format only

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NCP Annual Reporting Questionnaire 2022

Survey response 1

A. Contact information

Please indicate your country:
Norway
Please provide the contact information of the person filling in the questionnaire:
First name:
Åse Kristin
Last name
Sand
Job title:
Senior Adviser
Email:
Ase.Kristin.Sand@mfa.no
Telephone number (with country code): e.g.: +44 9876 123 456
+4798459716
B. Institutional arrangements - (a) Structure, location and composition of the NCP
1. What is the structure of the NCP?
Expert based
1. What is the structure of the NCP? [Other]
1a. Did the structure of the NCP change in 2022? If yes, please provide details in the comment box at the end of this section.
No
2. Who are the members of the NCP? Please describe the functions of the members of the NCP and the ministry/agency or stakeholder organisation they represent. No names are required.
Chair, Borgarting Court of Appeal Member, Confederation of Norwegian Enterprise (NHO)

3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which ministry or agency is located the Secretariat?

3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which ministry or agency is located the Secretariat?

Member, Norwegian Confederation of Trade Unions (LO)

Yes

[Other]

Ministry of Foreign Affairs

Member, Norwegian Forum for Development and Environment (civil society)

3. If the NCP is not a single-agency NCP, does it have a secretariat?

3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which department of the ministry or agency is located the Secretariat?

Department for sustainable development

3. (Continued) If the NCP is not a single-agency and has a Secretariat, did the location of the Secretariat change in 2022? No

4. Has the NCP been established through a legislative, regulatory or administrative instrument (e.g. a statute, a decree, a ministerial resolution)?

No

4. (Continued) If yes, please provide the name and date of this instrument, and a link if available

Additional comments on the section Structure, location and composition of the NCP:

The Norwegian NCP is established as an impartial, independent public body that gives legally non-binding advice in accordance with the Guidelines. The Secretariat is located in the Ministry of Foreign Affairs' offices, but is independent of the Ministry. The NCP has four members who are appointed on the basis of their professional expertise and experience. Gender balance is to be sought. The members are nominated by the Norwegian Ministry of Foreign Affairs and the Ministry of Trade, Industry and Fisheries, after consultations with the Ministry of Finance and the Ministry Labour and Social Inclusion, on the basis of recommendations from business (Confederation of Norwegian Enterprise, NHO), trade unions (Confederation of Norwegian Trade Unions, LO) and civil society organisations (Forum for Development and Environment). The members are appointed by the MFA. The chair is appointed for four years, and the remaining three members for three years at a time. Members may be reelected once.

B. Institutional arrangements - (b) Advisory body

5. Does the NCP have one or several advisory bodies?

No

- 5. (Continued) If the NCP has several advisory bodies, please specify.
- 5. (Continued) If yes, did the advisory body(ies) contain representatives from the following stakeholder groups? [Business stakeholders]

N/A

5. (Continued) If yes, did the advisory body(ies) contain representatives from the following stakeholder groups? [Trade union stakeholders]

N/A

5. (Continued) If yes, did the advisory body(ies) contain representatives from the following stakeholder groups? [NGO stakeholders]

N/A

- 5. (Continued) If yes, did the advisory body(ies) contain representatives from the following stakeholder groups? [Other]
- 5. (Continued) If yes, please describe the advisory body(ies)' functions (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing oversight, etc.)
- 5. (Continued) If yes, please list the names of organisations that are represented on the advisory body(ies) and the type of organisation. (e.g. government, business, business organisation, NGO, trade union, academia, consumer organisation, etc.)
- 5. (Continued) If yes, please indicate how often the advisory body(ies) meets [Other]
- 6. If an advisory body provides oversight, please describe the oversight procedure:

Additional comments on the section Advisory body(ies):
B. Institutional arrangements - (c) Human and financial resources
7. Does the NCP have dedicated full-time staff members?
Yes
7. (Continued) Please indicate the number of full-time staff members:
3
8. Does the NCP have dedicated part-time staff members?
No
8. (Continued) Please indicate the percentage of time spent on NCP matters for each part-time staff member:
9.1 Have any full-time staff members joined the NCP during the year?
No
9.2 Have any part-time staff members joined the NCP during the year?
No
10.1 Have any full-time staff members left the NCP during the year?
Yes
10.1 (Continued) Please indicate how many full-time staff members left the NCP during the year:
1
10.2 Have any part-time staff members left the NCP during the year?
No
11. Did the NCP have a dedicated budget this year?
Yes
12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Handle specific instances in an efficient and timely manner]
Yes
12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Organise promotional events]
Yes
12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Attend NCP meetings at the OFCD]

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not

invited/chose not to attend such events [Attend events organised by other NCPs]

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Attend events organised by stakeholders]

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not

invited/chose not to attend such events [Cover professional mediator fees or in-house mediator fees]

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Conduct fact-finding research into specific instances]

12. (Continued) If you have answered "no" to any of the above, please specify:

Additional comments on the section Human and financial resources:

B. Institutional arrangements - (d) Reporting

13. Does the NCP report to the executive on its activities?

Yes

13. (Continued) If yes, how often?

On an ongoing basis

14. Does the NCP report to the legislative body on its activities?

No

15. Please indicate any other relevant transparency requirement(s) (e.g. access to information or disclosure laws) applicable to the NCP in your country:

The Norwegian NCP is bound by the Freedom of Information Act and has its own website, www.responsiblebusiness.no. The NCP reports on its activities annually to the public, to the OECD Investment Committee, and to the Ministry of Foreign Affairs, the Ministry of Trade, Industry and Fisheries, the Ministry of Finance, and the Ministry of Labour and Social Affairs.

Additional comments on the section Reporting:

The Ministry of Foreign Affairs reports on the activities of the NCP to the Parliament.

C. Information and promotion - (a) Website

16. Does the NCP have a website?

Yes

16. (Continued) If yes, please provide the link:

https://www.responsiblebusiness.no / https://www.responsiblebusiness.no/en/

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The text of the Guidelines]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [A description of the Guidelines]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The OECD Due Diligence Guidance Documents]

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17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [Explanatory text about due diligence]

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17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [Information on the NCP and its mandate]

Vac

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP Annual Report submitted to the OECD]

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP's Report to the executive and/or legislative (if applicable)]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP's peer review report (if applicable)]

Yes

17.2 Are the following items available on the NCP website? Information about specific instances [Information on how to submit a specific instance]

Yes

17.2 Are the following items available on the NCP website? Information about specific instances [An online form to submit a specific instance]

Yes

17.2 Are the following items available on the NCP website? Information about specific instances [The NCP's rules of procedure]

17.2 Are the following items available on the NCP website? Information about specific instances [All final statements since 2011] Yes

17.3 Are the following items available on the NCP website? Information on promotional activities: [The NCP's promotional plan] No

17.3 Are the following items available on the NCP website? Information on promotional activities: [Information on upcoming events promoting the Guidelines]

Yes

17.3 Are the following items available on the NCP website? Information on promotional activities: [Information on past events promoting the Guidelines]

Yes

17.4 Are the following items available on the NCP website? Contact information: [Information on how to make an enquiry to the NCP]

Yes

17.4 Are the following items available on the NCP website? Contact information: [A phone number to reach the NCP directly] Yes

17.4 Are the following items available on the NCP website? Contact information: [An email address to reach the NCP directly]
Yes

Additional comments on the section NCP website:

The NCP will include the promotional plan for 2023 on the website.

C. Information and promotion - (b) Promotional activities*

18. Does the NCP have a promotional plan for the coming year(s)?

Yes

19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.

20. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire. Yes

21. Did the NCP make use of social media to communicate on NCP promotional activities during the year?

21. (Continued) If yes, please provide additional details:

Facebook, Twitter, Linkedin. However, in 2023 the NCP will focus on Linkedin as the main social media channel.

22. Did the NCP hold a stakeholder meeting during the year?

Yes

23. Did the NCP promote the Guidelines among the business community during the year?

Yes

24. Did the NCP carry out any training on the Guidelines aimed at businesses during the year?

Yes

25. Did the NCP promote the Guidelines among NGOs during the year?

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26. Did the NCP promote the Guidelines among trade unions during the year?

Yes

27. Did the NCP promote the Guidelines among government agencies during the year?

Yes

28. Did the NCP promote the Guidelines among embassies abroad during the year?

Yes

29. Did the NCP promote the Guidelines to investment promotion agencies during the year?

Yes

30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Business Conduct]

Yes

30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector]

Yes

30. Did the NCP focus on any of the following during promotional activities during the year: [Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors]

Yes

30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas]

Yes

30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector]

Yes

30. Did the NCP focus on any of the following during promotional activities during the year: [OECD-FAO Guidance for Responsible Agricultural Supply Chains]

Yes

Additional comments on the section Promotional activities:

The NCP has not had promotional activities with a sole focus on the guidance documents, except the Due Diligence Guidance. The NCP normally includes information on the guidance documents in presentations and other promotional activities.

D. Specific Instances - (a) NCP rules of procedure for handling specific instances

31. Does the NCP have rules of procedure describing the handling of specific instances?

Yes

32. Are the rules of procedure available online?

32. (Continued) If yes, please provide a link:

https://files.nettsteder.regjeringen.no/wpuploads01/blogs.dir/263/files/2014/01/FINAL KPprosedyreregler eng godkj.pdf

32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials.

filecount - 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials.

33. Were the NCP's rules of procedure modified this year?

No

Additional comments on the section Rules of procedure:

D. Specific Instances - (b) Specific instance practicalities

34. Does the NCP confirm receipt of a specific instance submission? Please select N/A if the NCP has never received a specific instance

Yes

35. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance? Please select N/A if the NCP has never received a specific instance

Yes

36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)?

No

37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year

Yes

38. Did the NCP staff or members conduct mediation this year? Please select N/A if the NCP has never received a specific instance

No

Additional comments on the section Specific instance practicalities:

The NCP engaged six mediators during the year - two per specific instance in which there was dialogue / mediation

D. Specific Instances - (c) Reporting specific instances

39. Did the NCP receive new specific instance submissions during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.

Yes

40. Did the NCP close specific instances during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.

Yes

41. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire. Select N/A if no specific instances were in progress at the start of the year.

Yes

42. Did the NCP follow up on a case during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire. Select N/A if no specific instances were concluded before the start of the year.

43. Is the OECD database of specific instances accurate and up to date with regard to cases handled by the NCP? Select N/A if the NCP has never received a specific instance.

No

43. (Continued) Please provide details (missing cases, out of date entries, broken links, etc.) in the box below:

The update in the SI has been submitted to the secretariat (CSJA vs. Telenor)

Additional comments on the section Reporting specific instances:

E. Peer learning and peer reviews

44. Did the NCP take part in the following activities with other NCPs during the year: [Host a peer learning activity]

Yes

44. Did the NCP take part in the following activities with other NCPs during the year: [Participate in peer learning activities hosted by other NCPs]

Yes

44. Did the NCP take part in the following activities with other NCPs during the year: [Co-operate with other NCPs in handling specific instances]

Yes

44. Did the NCP take part in the following activities with other NCPs during the year: [Provide mentoring/capacity building to another NCP]

Yes

45. Is the NCP interested in hosting an NCP learning/experience-sharing event in 2022?

No

46. Which topic would the NCP consider to be a priority to cover in a peer learning event?

47. Is the NCP interested in participating in developing tools for use by NCPs?

Yes

48. Is the NCP interested in acting as a peer reviewer in the future?

Yes

If yes, please specify the semester and the year:

The NCP participated in peer reviews in 2021 and 2022 of the Irish and Peruvian NCPs respectively.

Additional comments on the section Peer-learning and peer reviews:

One member of the NCP has participated in both peer reviews. One representative of the secretariat participated in the peer review in 2021. Both found that taking part in the peer review team was a very interesting experience, with respect to learning about the other NCPs, as well as our own NCP, and the NCP system as a whole.

F. Policy coherence*

49. Have the Guidelines been referred to in national legislation/regulations/policies adopted during the year? (e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement)

49. (Continued) Please provide a short summary and a link to the legislation. If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

The OECD Guidelines for Multinational Enterprises are central in the Norwegian Transparency Act. The Act was passed in June 2021 and entered into force on 1 July 2022. The Transparency Act § 4 requires enterprises to carry out due diligence in accordance with the OECD Guidelines. The definition of due diligence is closely aligned with the due diligence steps and supporting measures in the OECD Due Diligence Guidance for responsible business conduct (2018).

The purpose of the Transparency Act is to promote enterprises' respect for fundamental human rights and decent working conditions in connection with the production of goods and the provision of services and to ensure the general public access to information regarding how enterprises address adverse impacts on fundamental human rights and decent working conditions. The Act applies to larger enterprises that are resident in Norway and that offer goods and services in or outside Norway. The Act also applies to larger foreign enterprises that offer goods and services in Norway, and that are liable to tax to Norway pursuant to internal Norwegian legislation.

Link to unofficial English translation of the Act: https://lovdata.no/dokument/NLE/lov/2021-06-18-99

50. Did your country adopt a National Action Plan (NAP) this year?

No

50. (Continued) Please indicate which type of National Action Plan: [NAP on Business and Human Rights]

N/A

50. (Continued) Please indicate which type of National Action Plan: [NAP on Corporate Social Responsibility]

N/A

50. (Continued) Please indicate which type of National Action Plan: [NAP on Responsible Business Conduct]

N/A

- 50. (Continued) Please provide a link to the NAP on Business and Human Rights: If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.
- 50. (Continued) Did the NAP on Business and Human Rights make reference to: [The Guidelines?]
- 50. (Continued) Did the NAP on Business and Human Rights make reference to: [The NCP?]
- 50. (Continued) Please provide a link to the NAP on Corporate Social Responsibility: If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.
- 50. (Continued) Did the NAP on Corporate Social Responsibility make reference to: [The Guidelines?]
- 50. (Continued) Did the NAP on Corporate Social Responsibility make reference to: [The NCP?]
- 50. (Continued) Please provide a link to the NAP on Responsible Business Conduct: If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.
- 50. (Continued) Did the NAP on Responsible Business Conduct make reference to: [The Guidelines?]
- 50. (Continued) Did the NAP on Responsible Business Conduct make reference to: [The NCP?]
- 51. Was a NAP in development this year?

No

51. (Continued) If yes, please indicate which NAP: [NAP on Business and Human Rights]

N/A

51. (Continued) If yes, please indicate which NAP: [NAP on Corporate Social Responsibility]

N/A

51. (Continued) If yes, please indicate which NAP: [NAP on Responsible Business Conduct]

N/A

51. (Continued) If yes, please indicate which NAP: [Other]

52. Did the NCP inform officials responsible for the following areas of its relevant statements and reports? [Officials responsible for trade missions]

No

52. Did the NCP inform officials responsible for the following areas of its relevant statements and reports? [Officials responsible for foreign trade and investment incentives]

Yes

52. Did the NCP inform officials responsible for the following areas of its relevant statements and reports? [Officials responsible for public procurement]

No

53. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process?

Yes

53. (Continued) Please provide a link to the legislation. If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

In accordance with the Public Procurement Act § 5, public organisations shall promote human rights when producing products with a high risk of human rights violations in the supply chain. Link (Norwegian):

https://lovdata.no/dokument/NL/lov/2016-06-17-73. The Norwegian Agency for Public and Financial Management (DFØ) has updated the contract performance clauses for safeguarding basic human rights in the supply chain. The contract clauses are based on the OECD Due Diligence Guidance for responsible business conduct. The Norwegian Agency for Public and Financial Management (DFØ) has updated the contract performance clauses for safeguarding basic human rights in the supply chain. The contract clauses are based on the OECD Due Diligence Guidance for responsible business conduct.

54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [As part of a public procurement process]

N/A

- 54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [As part of a public procurement process][Comment]
- 54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [As part of dedicated events or training]

Yes

- 54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [As part of dedicated events or training][Comment]
- 54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [Other]

N/A

- 54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [Other][Comment]
- 54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [N/A: the NCP has not engaged or exchanged with public procurement officials/practitioners]

N/A

54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [N/A: the NCP has not engaged or exchanged with public procurement officials/practitioners][Comment]

55. Please identify any specific initiatives/good practices involving public procurement and RBC in your country:

- Providing tools and templates with requirements and criteria for public tenders in line with RBC guidelines: https://www.anskaffelser.no/public-procurement/socially-responsible-public-procurement
- Providing a high risk list in accordance to salience of human rights breaches in product categories common in Norwegian public procurement:
- https://www.anskaffelser.no/public-procurement/socially-responsible-public-procurement/information-about-high-risk-products
- Expert network of public procures meeting quarterly to discuss using requirements and criteria in public tender in line with the RBC guidelines.
- The Oslo model with social requirements based on the due diligence method of the OECD Guidelines and the UNGPs: https://www.oslo.kommune.no/getfile.php/13423367-1636121778/Tjenester og tilbud/Politikk og administrasjon/For v%C3%A5re leverand%C3%B8rer/Krav til leverand%C3%B8rer/Etiske krav/Tips til leverand%C3%B8rer_Etterlevelse av sosiale krav 05.11.21.pdf

56. Please include any other examples of policy coherence activities:

The Government's white paper on ownership policy (2022-2023) - "Greener and more active state ownership": The OECD Guidelines for MNEs, the OECD Due Diligence Guidance for RBC, the UNGPs and the ILO core conventions are the basis for the government's expectations on responsible business conduct, human rights and decent working conditions. https://www.regjeringen.no/contentassets/b45b4a63e301435293bd1b10d1ede45b/en-gb/pdfs/stm202220230006000engpdfs.pdf

Additional comments on the section Policy Coherence:

Q49-56 has been answered by the Norwegian NCP, that is independent of the government. Questions related to policy coherence when it comes to government activities should be submitted to the relevant authorities directly.

G. Challenges

57. Has the NCP faced any particular challenge(s) it would like to highlight this year?

The NCP is handling several complex specific instances. A recurring challenge is to keep the time schedule for the different phases of the case handling. Furthermore, offering professional mediation involving sufficient subject matter expertise has significant budgetary implications.

The demand for guidance and training on the OECD Guidelines and due diligence guidance has substantially increased after the Transparency Act was passed in 2021 and entered into force in July 2022. The NCP also notes an increased demand for guidance in relation to other regulatory developments. The NCP could have executed more promotional activities, such as specific courses/training, but does not have sufficient resources to meet all requests.

Additional comments on the section Challenges:

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE 2022

Annex

This document is to provide detailed information on NCP promotional activities (Part 1 below) and on specific instances (Part 2 below).

INSTRUCTIONS

This document should be completed and saved locally on a computer and uploaded from the same computer at the end of the online questionnaire in the section "Annex: NCP Promotional activities & Specific Instances" (after question 57).

Please note that only **Word files** (.doc or .docx) can be uploaded.

Guidance and instructions on how to complete the questionnaire (here) and the annex (here) are accessible on the NCP O.N.E Community Space.

HELP & ASSISTANCE

Please contact emily.halstead@oecd.org for any help or assistance to complete the questionnaire.

STRUCTURE

Part 1: NCP PROMOTIONAL ACTIVITIES

Part 2: SPECIFIC INSTANCES

NCP Norway

PART 1: NCP PROMOTIONAL ACTIVITIES

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2).

Please add the indicated information in the table below. Any links to recordings or announcements from events may be included in the comments box. They may also be uploaded as documents with the Annex.

Please add additional lines if needed. (Right click and select insert rows. The selection fields can be copied and pasted into the new rows)

TABLE 1

NCP-organised and co-organised events to promote the Guidelines and/or the NCP

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Organised or co-organised?	Targeted audience Select all that apply.	Theme e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.
Course in RBC and due diligence	17-Mar-2022	Oslo	Other	10-50	Organised	⊠Business representatives □NGOs □Trade Unions □Academia □Government □Other, please specify	Third workshop in the NCP's three day course. The first two days were in the Autumn 2021.
Dialogue meeting and launch of annual report, including panel discussion on the Transparency Act.	25-Apr-2022	Oslo	Conference	50-100	Organised	⊠Business representatives ⊠NGOs ⊠Trade Unions ⊠Academia ⊠Government □Other, please specify	Feedback from the NCP's stakeholders: confederation of enterprise, confederation of trade unions, and civil society, on the NCP's work, especially related to the coming Transparency Act. Panel discussion on the Act and the need for guidance.
Course in RBC and due diligence	05-May- 2022	Porsgrunn	Other	10-50	Organised	□Business representatives □NGOs □Trade Unions □Academia □Government ⊠Other, please specify	Two-day course for the team at the Consumer Authority, which is to monitor and enforce the Transparency Act. Themes: OECD GL, NCP system, due diligence guidance, UNGPs, stakeholder dialogue.
Course in RBC and due diligence	23-May- 2022	Porsgrunn	Other	10-50	Organised	□Business representatives □NGOs □Trade Unions □Academia □Government ⊠Other, please specify	Two-day course for the team at the Consumer Authority, which is to monitor and enforce the Transparency Act. Themes: sector guidance, due diligence and export finance, reporting, living wages, labour rights, case studies.
Launch compilation of dilemmas	19-Sep- 2022	Oslo	Conference	50-100	Co-organised	⊠Business representatives ⊠NGOs ⊠Trade Unions	Compilation with 22 dilemmas concerning human rights, decent work and anti-corruption. Compilation was developed

□Other, please specify	Norway.
Additional comments:	
Total number of events: 5	

TABLE 2

Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience Select all that apply.	Organiser(s)	Theme of the intervention
Delivering Human Rights and Environmental Due Diligence in Public Procurement	25-Jan-2022	Webinar	Webinar	50-100	<pre> ⊠Business representatives ⊠NGOs ⊠Trade Unions □Academia □Government □Other, please specify</pre>	Electronics Watch, IndustriAll, Fair Trade Advocacy Office og Rethinking Value Chains	The OECD Guidelines and the Transparency Act
Future Proof workshop for managers	26-Jan-2023	Bergen	Meeting	10-50	⊠Business representatives □NGOs □Trade Unions □Academia □Government □Other, please specify	Bergen Chamber of Commerce and Industry, Rafto Foundation for Human Rights	Business and human rights – UNGPs and OECD Guidelines
Preparing for the Norwegian Transparency Act	15-Feb-2022	Online	Webinar	10-50	<pre> ⊠Business representatives □NGOs □Trade Unions ☑Academia □Government □Other, please specify</pre>	Sustainability Hub	Presentation: OECD GL and due diligence. Workshop: OECD guidance.
Competence Forum	02-Mar-2022	Oslo	Meeting	10-50	□Business representatives ⊠NGOs ⊠Trade Unions □Academia ⊠Government □Other, please specify	Ministry of Trade and Fisheries	NCP input to the government's new white paper on enterprises with state ownership.
The Transparency Act	11-Mar-2022	Oslo	Conference	>100	⊠Business representatives □NGOs □Trade Unions □Academia □Government □Other, please specify	Union of contractors – Building and Construction	The Transparency Act, due diligence requirements
What does the Transparency Act imply for enterprises?	01-Apr-2022	Oslo	Conference	50-100	□Business representatives □NGOs □Trade Unions □Academia □Government ⊠Other, lawyers	Norwegian Association of Lawyers	Due diligence, OECD GL, guidance
Delivering Due Diligence – bridging policy and practice	12-Apr-2022	Brussels	Conference	>100	⊠Business representatives ⊠NGOs □Trade Unions □Academia ⊠Government	Responsible Business Alliance	Transparency Act and international standards/OECD GL

					□Other, please specify		
Nordic-Baltic Network	20-May- 2022	Stockholm	Webinar	10-50	□Business representatives □NGOs □Trade Unions □Academia □Government ⊠Other, NCPs	Swedish NCP	NCPs and the evolving regulatory framework/Transparency Act; Norwegian NCP's business survey
Get started	09-Jun-2022	Oslo	Meeting	10-50	<pre> ⊠Business representatives ⊠NGOs ⊠Trade Unions □Academia □Government □Other, please specify</pre>	Coalition for Responsible Business Conduct (KAN)	The requirements in the Transparency Act, due diligence
Future Proof Workshop	09-Jun-2022	Bergen	Meeting	10-50	⊠Business representatives □NGOs □Trade Unions □Academia □Government □Other, please specify	Bergen Chamber of Commerce and Industry, Rafto Foundation for Human Rights	OECD GL, due diligence
Human rights in the garden	14-Jun-2022	Oslo	Webinar	Choose an item.	⊠Business representatives №NGOs ☑Trade Unions ☒Academia ☑Government ☐Other, please specify	Norwegian National Human Rights Institution	Transparency Act, OECD GL, due diligence
Presentation of the OECD GL, due diligence and the Transparency Act	21-Jun-2022	Oslo	Meeting	10-50	□Business representatives □NGOs □Trade Unions □Academia □Government ⊠Other, employees at Eksfin	Export Finance Norway	OECD GL, due diligence and the Transparency Act
OECD Roundtable for policymakers	23-Jun-2022	Webinar	Meeting	50-100	□Business representatives □NGOs □Trade Unions □Academia ☑Government □Other, please specify	OECD Centre for Responsible Business Conduct	The Norwegian Transparency Act and how it builds on international guidelines; risk-based due diligence
The Transparency Act – how to make a difference	17-Aug- 2022	Arendal	Conference	Choose an item.	<pre> ⊠Business representatives ⊠NGOs ⊠Trade Unions ⊠Academia ⊠Government □Other, please specify</pre>	Arendalsuka	Transparency Act, OECD GL, due diligence
Workshop on RBC	09-Sep- 2022	Oslo	Meeting	10-50	⊠Business representatives □NGOs □Trade Unions □Academia □Government □Other, please specify	Innovation Norway	Due diligence in high-risk areas. Workshop with discussion on dilemmas.

Master course in human rights	15-Sep- 2022	Oslo	Other	□ Business representatives □ NGOs □ Trade Unions □ Academia □ Government □ Other, please specify		University of Oslo	OECD GL, due diligence, specific instances
Annual course in human rights	22-Sep- 2022	Oslo	Other	□Business representatives □NGOs □Trade Unions		Lawyers Education Centre	Due diligence – OECD Guidelines (with reference to Transparency Act) and specific instances
Course for foreign service trainees	23-Sep- 2022	Oslo	Meeting	10-50	□Business representatives □NGOs □Trade Unions □Academia □Government ⊠Other, foreign service trainees	Ministry of Foreign Affairs	NCPs, OECD GL, due diligence, complaint mechanism
Grievance mechanisms and OECD NCPs	10-Oct-2022	Webinar	Webinar	10-50	⊠Business representatives □NGOs □Trade Unions □Academia □Government □Other, please specify	Business for Inclusive Growth	The NCP's promotional work: trainings, RBC Compass and other tools
Transparency Act and Norwegian labour law	20-Oct-2022	Oslo	Meeting	10-50	□Business representatives □NGOs □Trade Unions □Academia □Government ⊠Other, lawyers	Norwegian Association of Labour Legislation	OECD GL, Transparency Act, regulatory developments in general
World Conflict Resolution Day	20-Oct-2022	Webinar	Meeting	50-100	□Business representatives □NGOs □Trade Unions □Academia □Government ⊠Other, NCPs, mediators	Peace Nexus, CAO	Dialogue and mediation
Follow-up Myanmar	03-Nov- 2022	Oslo	Webinar	10-50	□Business representatives ⊠NGOs ⊠Trade Unions □Academia □Government □Other, please specify	Ethical Trade Norway	OECD GL and high-risk areas, responsible disengagement
Future Proof Workshops	23-Nov- 2022	Bergen	Meeting	10-50	⊠Business representatives □NGOs □Trade Unions □Academia □Government □Other, please specify	Bergen Chamber of Commerce and Industry, Rafto Foundation for Human Rights	Discussion on RBC dilemmas

The Turkish Embassy	26-Jan-2023	Oslo	Meeting	10-50	⊠Business representatives №NGOs □Trade Unions □Academia ⊠Government □Other, please specify	The Turkish Embassy, Forum for Women and Development	OECD GL, due diligence, Transparency Act
UN Forum for Business and Human Rights	29-Nov- 2022	Geneva	Conference	>100	<pre></pre>	UN Working Group on BHR	The Transparency Act
The Transparency Act and Responsible Business Conduct	07-Dec- 2022	Skien	Conference	>100	<pre></pre>	The Consumer Authority	RBC, OECD GL, due diligence, RBC development, transparency act
Accountability for forced labour and modern slavery	09-Dec- 2022	Oslo	Conference	>100	 ⊠Business representatives ⊠NGOs ⊠Trade Unions ⊠Academia ⊠Government □Other, please specify 	University of Oslo	Facilitating debate on measures to combat forced labour, including due diligence
The Transparency Act and the OECD Guidelines	09-Dec- 2022	Oslo	Meeting	>100	 ⊠Business representatives □NGOs ⊠Trade Unions □Academia ⊠Government □Other, please specify 	Norwegian ILO Committee	The Transparency Act and the OECD Guidelines – due diligence

Additional comments:	
Total number of events:	28

PART 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

- 1. Specific instances **in progress** during the year (specific instances received and pending initial assessment, or found to merit further examination after the initial assessment and under consideration by the NCP).
- 2. Specific instances concluded during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
- 3. Specific instances not accepted during the year (specific instances that the NCP found not to merit further examination after initial assessment).
- 4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide statements as soon as they become available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this <u>form</u> to <u>ncp-specific-instances@oecd.org</u>¹.

According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, "[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment".

For all specific instances that are currently IN PROGRESS:

Names of parties	Date received (dd/mm/yyyy)	Submitter type (NGO, trade union,, etc—see list in reporting form)	Industry sector (Construction, manufacturing, etc—see list in reporting form)	Host Country	Themes (MNE Guidelines chapters)	Is the initial assessment publicly available?	Link to OECD database if available	Did the NCP co-operate with other NCPs ing the handling of this specific instance? If yes, please describe.
KTNC Watch, Workers Support Team vs Total E&P Norge, Total, Equinor, TechnipFMC, Samsung Heavy Industries	20-Mar- 2019	NGO and a group representing workers	Construction Shipbuilding/ shipyard	South Korea	Ch II, III, IV.	Yes	http://mneguidelines.oe cd.org/database/instanc es/no0016.htm	Yes, with NCPs in France, UK and Korea. Norway NCP is lead NCP for the issues in the complaint that concern the companies headquartered in Europe.
Centre for Research on Multinational Corporations (SOMO) on behalf of 474 civil society organisations in Myanmar vs Telenor	27-Jul-2021	Choose an item. NGO	Information and communication Click here to enter text.	Myanmar	Ch II, III, IV.	Yes	Click here to enter text.	No
Eight NGOs vs. two companies (not public)	31-May- 2022	Choose an item. NGO Click here to enter text.	Other, please specify Oil and gas sector Investment company in oil and gas, renewable energy Click here to enter text.	Norway	Ch. II, IV	No, IA not finalised.	Click here to enter text.	No

		_	
Add	dition	al cor	nments:

Total number	of	specific
instances:		

3

For all specific instances that were CONCLUDED during the year:

Names of parties	Date recei ved (dd/m m/yyyy)	Date concl uded (dd/mm/ yyyy)	Submitter type (NGO, trade union,, etc—see list in reporting form)	Industry sector (Construction, manufacturing, etc—see list in reporting form)	Host Country	Themes (MNE Guidelines chapters)	Link to OECD database if available	Outcomes achieved	Does the final statement plan for a follow up?	Did the NCP co- operate with other NCPs during the handling of this specific instance? If yes, please indicate which NCPs.	Were parallel proceedings involved while handling the specific instance? If yes, please select the type.
Committ ee Seeking Justice for Alethank yaw (CSJA) vs Telenor	19- Dec- 2019	29- Aug- 2022	Individual(s) Committee representing refugees	Information and communication	Myanmar	Ch. II, III, IV	Instances - Organisation for Economic Co- operation and Development (oecd.org)	Examination of issues. The complainants did not want to take part in dialogue and mediation.	N/A - no outcomes achieved	No	Choose an item. No
Four trade unions (IUF, EFFAT-IUF, SEIU, UGT) & Norges Bank Investme nt Manage ment (NBIM)	18- May- 2020	22- Apr- 2022	Click here to enter text. Click here to enter text.	Financial and insurance activities Click here to enter text.	Norway	Ch. II, IV, V	Instances - Organisation for Economic Co- operation and Development (oecd.org)	Agreement published on NCP website	Follow-up conducted: Agreement was implemented in full	Yes, with the Dutch and US NCPs.	Other NCPs The complaint was submitted to the Dutch NCP. It included NBIM in Norway and McDonalds in the US. The NCPs agreed to divide the case into three separate specific instances and coordinate on handling. Click here to enter text.

	Additional comments:	
Г		
	Total number of specific instances:	2

For all specific instances that were NOT ACCEPTED during the year:

Name s of partie s	Date recei ved (dd/mm/ yyyy)	Date conclu ded (dd/mm/y yyy)	Submitter type (NGO, trade union,, etc— see list in reporting form)	Industry sector (Construction, manufacturing , etc—see list in reporting form)	Submitter type (NGO, trade union,, etc— see list in reporting form)	Industry sector (Construction, manufacturing , etc—see list in reporting form)	Host Country	Themes (MNE Guidelines chapters)	Link to OECD database if available	Reasons for not accepting the specific instance:	Did the NCP co- operate with other NCPs during the handling of this specific instance If yes, please indicate which NCPs.	Were parallel proceedings involved while handling the specific instance? If yes, please select the type.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item. Click here to enter text.	Choose an item. Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item. Click here to enter text.
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Addition	าal com	ıments:

Total number of specific instances:

0

For all specific instances for which FOLLOW UP was performed during the year:

Names of parties	Date received (dd/mm/yyyy	Date concluded (dd/mm/yyyy)	Date of follo w up (dd/mm /yyyy)	Submitter type (NGO, trade union,, etc—see list in reporting form)	Industry sector (Construction, manufacturing, etc— see list in reporting form)	Host Country	Themes (MNE Guidelines chapters)	Was a public follow up statement issued?	Link to the follow up statement, if applicable
Four trade unions (IUF, EFFAT-IUF, SEIU, UGT) & Norges Bank Investment Management (NBIM	18-May- 2020	22-Apr-2022	27- Oct- 2022	Trade Union Click here to enter text.	Financial and insurance activities Click here to enter text.	Norway	Ch. II, IV, V	No	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter a date.	Choose an item. Click here to enter text.	Choose an item. Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
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Additional	comments:

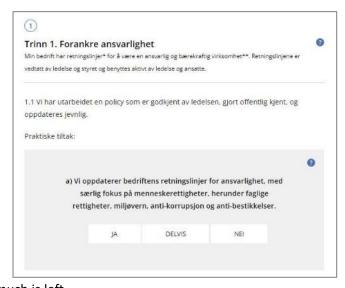
Total	number	of	specific
insta	nces:		

The Norwegian NCP has issued two new tools in 2022:

A digital due diligence tool

The tool is based on the NCP's introduction guidance to the OECD Due Diligence Guidance for Responsible Business Conduct. The target group is enterprises that are not very familiar with due diligence.

The respondent replies to statements about measures based on the six steps in the due diligence guidance, that is whether the statement is correct – partly correct – not correct. The result for each step is illustrated with a speedometer,



demonstrating how much is done and how much is left.

About the tool: Verktøy for aktsomhetsvurderinger – Ansvarlig Næringsliv (responsiblebusiness.no)

The tool: Egentest aktsomhetsvurderinger – Ansvarlig Næringsliv (responsiblebusiness.no)

The NCP's introduction guidance to the OECD Due Diligence Guidance: <u>OECDs veileder for aktsomhetsvurderinger for ansvarlig næringsliv – En innføring (regjeringen.no)</u>

A compilation of dilemmas for enterprises related to human rights, decent work and anticorruption

The compilation contains 22 dilemmas based on real situations and challenges experienced by Norwegian enterprises. Each dilemma is followed by questions for discussion. There is also an instructor version with reference to the OECD Guidelines, the due diligence guidance and Transparency International Norway's anti-corruption handbook for the Norwegian business sector when relevant.

The compilation is developed in cooperation with the Confederation of Norwegian Enterprise and Transparency International Norway.

The compilation: <u>Dilemmasamling-</u>
2022 deltaker nett.pdf (regjeringen.no)

